

GOVERNING BODY MEETING in Public

27 APRIL 2016

Agenda Item **2.2**

Paper Title	Governing Body Assurance Framework
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Purpose of paper / report

The Assurance Framework is a summary of strategic risks that may have an impact on the achievement of corporate objectives. The purpose of the paper is to present those risks for review by the Governing Body and assure them that all risks are represented, suitable controls are in place and risks are recorded appropriately.

Outcome Required:	Approve	<input checked="" type="checkbox"/>	Ratify		Decide		Endorse		For information	
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Recommendation(s)

The Governing Body is asked to:

- Review and approve the list of Strategic Risks for NHS Eastern Cheshire Clinical Commissioning Group (ECCCG) (**Appendix One**).
- Consider and approve the removal of GBAF 09 CCG Financial Challenge and GBAF 10 Productivity Delivery.

Benefits / value to our population / communities

The Governing Body Assurance Framework is a mechanism to ensure that significant strategic risks to ECCCG are recognised and managed appropriately, thus minimising any impact to our population and communities.

Key Implications of this report – please indicate

Strategic	<input checked="" type="checkbox"/>	Consultation & Engagement	<input checked="" type="checkbox"/>
Financial	<input checked="" type="checkbox"/>	Equality	<input checked="" type="checkbox"/>
Quality & Patient Experience	<input checked="" type="checkbox"/>	Legal / Regulatory	<input checked="" type="checkbox"/>
Staff / Workforce	<input checked="" type="checkbox"/>	Safeguarding	<input checked="" type="checkbox"/>

Governing Body Assurance Framework Risk Mitigation:

See Appendix One

Report Author	Contributors
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Date of report	21 April 2016

Governing Body Assurance Framework

1. Executive Summary

- 1.1 The Governing Body Assurance Framework forms part of NHS Eastern Cheshire Clinical Commissioning Group's (ECCCCG's) risk management strategy and policy and is the framework for identification and management of strategic risks; both risks internal to ECCCCG and those in the wider system in which ECCCCG has a role.
- 1.2 The Assurance Framework is reviewed on a monthly basis by the Executive Committee, who finalise the list of strategic risks, confirm actions being undertaken and check assurances. These risks are then added to/amended on the Corporate Risk Log which contains all operational and strategic risks.

2. Significant Changes

- 2.1 The risks (as outlined in **Appendix One**) have now been updated and published in the current Assurance Framework. There are no new risks to be added this month and no significant changes in terms of risk score, albeit specific risks have been rewritten to more accurately reflect the risk as requested by the Governing Body.

3. Risks Considered for Removal

- 3.1 **GBAF 09 CCG Financial Challenge.** This risk outlined the potential for ECCCCG not achieving its planned surplus of £1.4 for 2016/17. The risk has been mitigated given that the planned surplus of £1.4m has been achieved and is proposed that the Governing Body consider the request for the risk to be removed.
- 3.2 **GBAF 10 Productivity Delivery.** This risk outlined the requirement for ECCCCG to deliver £2.55m of Productivity savings in order to achieve its planned surplus of £1.4m. The risk has been mitigated given that the planned surplus of £1.4m has been achieved and is proposed that the Governing Body consider the request for the risk to be removed.

4. Deep Dive

- 4.1 The deep dive for the month is Stroke Compliance in Eastern Cheshire.

5. Recommendations

- 5.1 The Governing Body is asked to:
- Review and approve the list of Strategic Risks for ECCCCG (Appendix One).
 - Consider and approve the removal of GBAF 09 CCG Financial Challenge and GBAF 10 Productivity Delivery.

6. Reasons for Recommendations

- 6.1 By reviewing the recommendations, the Governing Body will be approving updates to the Assurance Framework as described. This will ensure that the current risks and associated scores are reflected to provide a current overview of the key strategic risks for ECCCCG.

7. Peer Group Area / Town Area Affected

7.1 N/A

8. Population affected

8.1 N/A

9. Context

9.1 N/A

10. Finance

10.1 N/A

11. Quality and Patient Experience

11.1 N/A

12. Consultation and Engagement (Public/Patient/Carer/Clinical/Staff)

12.1 N/A

13. Health Inequalities

13.1 N/A

14. Equality

14.1 N/A

15. Legal

15.1 N/A

16. Communication

16.1 N/A

17. Background and Options

17.1 N/A

18. Access to further information

18.1 For further information relating to this report contact:

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19. Glossary of Terms

ECCCCG NHS Eastern Cheshire Clinical Commissioning Group

QIPP Quality, Innovation, Productivity & Prevention

20. Appendices

Appendix One	Click here for Governing Body Assurance Framework
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Prior Committee Approval / Link to other Committees
Reviewed by the Executive Committee

CCG 5 Year Strategic Plan programme of work this report links to <input checked="" type="checkbox"/>			
Caring Together	<input checked="" type="checkbox"/>	Quality Improvement	<input checked="" type="checkbox"/>
Mental Health & Alcohol	<input checked="" type="checkbox"/>	Other	

CCG 5 Year Strategic Plan ambitions addressed by this report <input checked="" type="checkbox"/>			
Increase the number of our citizens having a positive experience of care	<input checked="" type="checkbox"/>	Increase the proportion of older people living independently at home and who feel supported to manage their condition	<input checked="" type="checkbox"/>
Reduce the inequalities in health and social care across Eastern Cheshire	<input checked="" type="checkbox"/>	Improve the health-related quality of life of our citizens with one or more long term conditions, including mental health conditions	<input checked="" type="checkbox"/>
Ensure our citizens access care to the highest standard and are protected from avoidable harm	<input checked="" type="checkbox"/>	Secure additional years of life for the citizens of Eastern Cheshire with treatable mental and physical health conditions	<input checked="" type="checkbox"/>
Ensure that all those living in Eastern Cheshire should be supported by new, better integrated community services	<input checked="" type="checkbox"/>		

CCG Operational Plan 2015/16 programme of work this report links to <input checked="" type="checkbox"/>			
Integrated Care	<input checked="" type="checkbox"/>	Specialist & Direct Care	<input checked="" type="checkbox"/>
Systems Resilience	<input checked="" type="checkbox"/>	Continuous Quality Improvement	<input checked="" type="checkbox"/>
Duty of Care	<input checked="" type="checkbox"/>		

CCG Values supported by this report – please indicate <input checked="" type="checkbox"/>			
Valuing People	<input checked="" type="checkbox"/>	Innovation	<input checked="" type="checkbox"/>
Working Together	<input checked="" type="checkbox"/>	Quality	<input checked="" type="checkbox"/>
Investing Responsibly	<input checked="" type="checkbox"/>		

NHS Constitution Values supported by this report – please indicate <input checked="" type="checkbox"/>			
Working together for patients	<input checked="" type="checkbox"/>	Compassion	<input checked="" type="checkbox"/>
Respect and dignity	<input checked="" type="checkbox"/>	Improving lives	<input checked="" type="checkbox"/>
Commitment to quality of care	<input checked="" type="checkbox"/>	Everyone counts	<input checked="" type="checkbox"/>